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125	AA1	4,713,339	12/15/1987	Levinson et al.	435	240,2	01/19/1983				
1	AB1	4,897,355	01/30/1990	Eppstein et al.	435	240.2	01/07/1985				
	AC1	4,965,196	10/23/1990	Levinson et al.	435	691	01/19/1983				
	AD1	5,264,618	11/23/1993	Felgner et al.	560	224	04/19/1990				
	AE1	5,334,761	08/02/1994	Gebeyehu et al.	564	197	08/28/1992				
	AF1	5,459,127	10/17/1995	Felgner et al.	514	7	09/16/1993				
	AG1	5,580,859	12/03/1996	Felgner et al.	514	44	03/18/1994				
	AH1	5,589,466	12/31/1996	Felgner et al.	514	44	01/06/1995				
	AI1	5,676,954	10/14/1997	9righam	424	450	05/08/1995				
	AJ1	5,693,622	12/02/1997	Wolff et al.	514	44	06/07/1995				
Peg	AK1	5,703,055	12/30/1997	Felgner <i>et al</i> .	514	44	01/26/1994				
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PS	AR	Agadjanyan, against HIV	M.G., et al., type 2 and SIV	"An HIV type 2 DNA Vaccine 1 ," AIDS Res. Hum. Retroviruse	nduces Cross es <i>13</i> (1 <b>8</b> ):156	-Reactive Immune 51-1572 (December	Responses 1997)				
PS	AS	Agadjanyan, Leukemia Vii	M.G., et al., cus Type 1," Cus	"DNA Plasmid Based Vaccinati rr. Top. Microbiol. Immunol.	on Against t <i>226</i> :175-192	he Oncogenic Huma (1998).	n T Cell				
PS	AT	novel nonrep	Altman-Hamamdzic, S., et al., "Expression of β-galactosidase in mouse brain: utilization of a novel nonreplicative Sindbis virus vector as a neuronal gene delivery system," <i>Gene Ther</i> . 4(8):815-822 (August 1997).								
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125	AS	Behr, J.P., lipopotyamin	et al., "Effici e-coated DNA,"	ent gene transfer into mamme Proc. Natl. Acad. Sci. U S A	ilian primar . <i>86</i> (18):698	y endoci 12-6986	rine celis (September	with 1989).		
25	AT	Boyer, J.D., DNA immuniza	Boyer, J.D., et al., "In vivo protective enti-HIV immune responses in non-human primates through DNA immunization," J. Med. Primatol. 25(3):242-250 (June 1996).							
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: 725	AS	<u>3</u>			tion of chimpanzees fro . 3(5):526-532 (May 199		terolo	gous HIV-1 cl	nallenge by		
75	AT	2	Davis, H.L., "Plasmid DNA expression systems for the purpose of immunization," Curr. Opin. Biotechnol. 8(5):635-646 (October 1997).								
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25	AR	A Davis, H.L., normal and H	et al., "DNA BsAg-transge	N-based immunization against laic mice, Vaccine 15(8):849-1	hepatitis B s 852 (June 199	urface antigen (P 7).	HBsAg) in				
725	AS	Davis, H.L., against the	et al., uDir hepatitis B	rect gene transfer in skeletal virus surface antigen," <i>Vaccii</i>	l muscle: pla 08 12(16):150	smid DNA-based in 3-1509 (December	munization 1994).				
25	AT	Davis, H.L., transfer wit	Pavis, H.L., et al., "Immune-mediated destruction of transfected muscle fibers after direct gene cransfer with antigen-expressing plasmid DNA," <i>Gene Ther</i> . 4(3):181-188 (March 1997).								
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25	AT	<u>5</u>	Etchart, N., et al., "Class I-restricted CTL induction by mucosal immunization with maked DNA encoding measles virus haemagglutinin," J. Gen. Virol. 78 ( Pt 7):1577-1580 (July 1997).								
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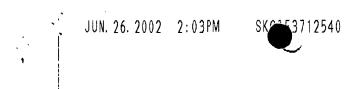


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77	AT	<u>6</u>	Felgner, P.L	, "Nonviral Str	ategies for Gene There	apy," Sci. Am. 2	276:102-	106 (June 19	97).
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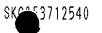
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15	AT	7	Gregoriadis, G., et al., "Liposome-mediated DNA vaccination," FEBS Lett. 402(2-3):107-110 (February 1997).								
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24	AT	8	Horn, et al., "Cancer Gene Therapy Using Plasmid DNA: Purification of DNA for Human Clinical Trials," Human Gene Ther. 6:656-573 (1995).								
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27	AT	2		Klavniskis, L.S, et al., "Mucosal immunization with DNA-liposome complexes," Vaccine 15(8):818-820 (June 1997).							
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Page 19 of 19 APPLICATION NO. 09/580,463 ATTY. DOCKET NO. 1530.0220001 FORM PTO-1449 APPLICANT WHEELER, Carl INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP May 26, 2000 1632 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER CLASS SUB-CLASS FILING DATE AA3 AB3 AC3 AD3 AE3 AF3 AG3 AH3 A13 AJ3 AK3 FOREIGN PATENT DOCUMENTS EXAMINER COUNTRY SUB-CLASS TRANSLATION INITIAL DOCUMENT NUMBER DATE CLASS Yes AL3 No Yes No EMA Yes No AN3 Yes A03 No Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Yokoyama, M., et al., "DNA immunization: Effects of vehicle and route of administration on the induction of protective antiviral immunity," FEMS Immunol. Med. Microbiol. 14(4):221-230 (July <u> 19</u> 1996). AS <u>19</u> ΑТ 19 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



Page 18 of 19 APPLICATION NO. ATTY. DOCKET NO. 1530.0220001 09/580,463 FORM PTO-1449 APPLICANT WHEELER, Carl INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP May 26, 2000 1632 U.S. PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS FILING DATE AA3 AB3 AC3 AD3 AE3 AF3 AG3 AH3 AI3 ELA AK3 FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER CLASS SUB-CLASS TRANSLATION INITIAL COUNTRY Yes AL3 No Yes No AM3 Yes AN3 No Yes **FOA** No Yes No AP3 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Wang, B., et al., "Mucosal immunization with a DNA vaccine induces immune responses against HIV-1 AR <u> 18</u> at a mucosal site," Vaccine 15(8):821-825 (June 1997). Wheeler, C.J., et al., "A novel cationic lipid greatly enhances plasmid DNA delivery and AS <u>18</u> expression in mouse lung," Proc. Natl. Acad. Sci. U.S.A. 93(21):11454-11459 (October 1996). Wheeler, C.J., et al., "Converting an alcohol to an amine in a cationic lipid dramatically alters the co-lipid requirement, cellular transfection activity and the ultrastructure of DNA-cytofectin ΑT <u>18</u> complexes," Biochim. Biophys. Acta 1280(1):1-11 (April 1996). EXAMINER DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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